SHEET 1 OF 12 DOCKET NUMBER PSIO-1449 APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. FILING DATE **GROUP ART UNIT** 1743 February 5, 2001 TRATT ETNAS **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT DATE NAME CLASS SUB FIL. DATE NUMBER CLASS IF APPROP. 10/06/36 2,056,791 Logan 3,013,467 12/19/61 Minsky 3,540,858 11/17/70 Rochte et a. 3,849,654 11/19/74 Malvin 4,011,451 03/08/77 Nelson 4,053,381 10/11/77 Hamblen et al. 4,067,653 10/11/77 Fletcher et al. 4,240,751 12/23/80 Linnecke et al. 4,245,052 01/13/81 Lund 4,292,273 09/29/81 Butz et al. 08/09/83 4,397,560 Andresen FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUB TRANSLATION NUMBER YES NO WO94/29024 12/22/94 **WIPO** XXWO98/46981 10/22/98 **WIPO** XX **OTHER DOCUMENTS** PCR Reaction Vessels brochure, Corning Costar Corporation, September 1996. Miniprep 50 Mini Sample Processor brochure, Tecan AG, June 1997. Advanced Microplate Washers brochure. Tecan AG, July 1997. Genesis Series Robotic Sample Processors brochure, Tecan AG, October 1997, Genesis Robotic Microplate Processor brochure. Tecan AG, November 1997. DATE CONSIDERED 7-11-0 Y **EXAMINER**

SHEET 2 OF 12 MM PTO-1449 DOCKET NUMBER APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. GROUP ART UNIT FILING DATE 1743 February 5, 2001 **U.S. PATENT DOCUMENTS** EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB FIL. DATE CLASS IF APPROP 4,501,970 02/26/85 Nelson 4,545,958 10/08/85 Doptaka 05/27/86 Hafeman et al. 4,591,550 4,599,315 07/08/86 Terasaki et al. 4,622,208 11/11/86 Namba et al. 4,626,684 12/02/86 Landa 4,669,978 06/02/87 Klefisch 4,704,255 11/03/87 Jolley 4,704,353 11/03/87 Humphries et al. 4,707,067 11/17/87 Haberland et al. 4,730,921 03/15/88 Klein et al. FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUB TRANSLATION NUMBER YES NO WO99/04228 01/28/98 **WIPO** XX WO99/08233 02/18/99 **WIPO** XXOTHER DOCUMENTS Miniprep 75 Robotic Sample Processor brochure. Tecan AG November 1997. A Measure of brilliance, TR717 Microplate Luminometer brochure, Tropix, Inc. 1997. Advanced Microplate Detection Systems brochure, Tecan AG, February 1998. The SPECTRA Family brochure, Tecan AG, February 1998. Assist Plate Handling Device brochure, Labsystems, May 1998 Genesis Assay Workstation brochure, Tecan AG, July 1998. Genesis Logistics Workstation brochure, Tecan AG, July 1998. DATE CONSIDERED

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SHEET <u>3</u> OF <u>12</u> **®**M PTO-1449 DOCKET NUMBER APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. FILING DATE **GROUP ART UNIT** 1943 February 5, 2001 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT DATE NAME CLASS SUB FIL. DATE INITIAL NUMBER CLASS IF APPROP 4,735,778 04/05/88 Maruyama et al. 04/19/88 Kelln et al. 4,738,825 05/03/88 4,741,619 Humphries et al. 4,762,420 08/09/88 Bowley 4,772,453 09/20/88 Linsenbee 01/31/89 Rosenthal 4,801,804 4,810,096 03/07/89 Russell et al. Des. 303,149 08/29/89 Andersen 09/19/89 4,868,103 Stavrianopoulos et al. 4,873,633 10/10/89 Mezei et al. 4,883,579 11/28/89 Humphries et al. FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUB TRANSLATION NUMBER CLASS NO WO99/23466 05/14/99 **WIPO** XXWO99/37203 07/29/99 **WIPO** XXOTHER DOCUMENTS Polarion brochure, Tecan AG. August 1998. A Catalog of Reagents, Microplates and Accessories of Life Science Research, Book 2, Packard BioScience Company, December 1998. CytoFluor Fluorescence Multi-Well Plate Reader Brochure. PerSeptive Biosystems, 1998. Setting the Standard, the HTS Compatibility Program brochure, Corning Incorporated, 1998. Microplate Instrumentation catalogue, Labsystems, 1998. Luc-Screen brochure, Tropix, Inc., 1998.

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SHEET 4 OF 12 FORM PTO-1449 DOCKET NUMBER APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. FILING DATE **GROUP ART UNIT** February 5, 2001 1943 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT DATE FIL. DATE IF APPROP. NAME CLASS SUB INITIAL NUMBER CLASS 4,892,409 01/09/90 Smith 4,894,347 01/16/90 Hillyard et al. 06/05/90 4,931,402 Abplanalp 4,936,682 06/26/90 Hoyt 4,948,442 08/14/90 Manns 11/06/90 4,968,148 Chow et al. 4,979,093 12/18/90 Laine et al. 4,979,821 12/25/90 Schutt et al. 5,047,215 09/10/91 Manns 5,084,246 01/28/92 Lyman et al. 5,086,022 02/04/92 Hillyard et al. FOREIGN PATENT DOCUMENTS DOCUMENT DATE CLASS COUNTRY SUB TRANSLATION NUMBER CLASS YES NO WO99/42817 08/26/99 **WIPO** XX WO99/54711 10/28/99 **WIPO** XX**OTHER DOCUMENTS** Nunc Products 1998-99 Catalog, Nalge Nunc International, 1998. Advanced Microplate Washers, Tecan AG, April 1999. Everything's Great When It Sits on a Chip, Sinclair, The Scientist, Vol. 13, No. 11, May 24, 1999. Assay Miniaturization for High-Throughput Screening, Panfili, September 1999. CvBiTM-Disk brochure, CvBio AG, October 1999. TWISTERTM, Tecan's Automated Microplate Handler brochure, Tecan AG, November 1999. DATE CONSIDERED **EXAMINER** 7-12-09

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	Os	5,091,652	02/25/92	M	athies et al.			7	
	19	5,110,556	05/05/92	Ly	man et al.			/	
		5,112,134	05/12/92	CI	now et al.		-	/	
		5,169,601	12/08/92	O	nta et al.			/	
		5,198,670	03/30/93	V	anCauter et al.		/		
		5,206,568	04/27/93	Вј	ornson et al.				
		5,208,161	05/04/93	Sa	unders et al.				
		5,216,488	06/01/93	Tu	iunanen et al.		7		
		5,225,164	07/06/93	As	stle		T		
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	Do	Magellan, Instrui	nent Control an	d Data	Analysis Software br	ochure.	Tecan	AG, Nove	ember 1999.
		Handout Inform Format, CyBio			Automated Liqu	id-Han	dling in	n the Mic	roplate
		Absorbance Rea	ders brochure,	Tecan	AG, December 199	99.			
		ULTRA - The Solu	ntion for HTS and	Assay I	Development brochure,	Tecan A	ustria G	mbH, Dec	ember 1999.
		CyBi TM -PlateSa	afe brochure,	CyBio	AG, May 2000				
	() _K	CyBi™-Lumax	1,536 brochure	e, CyB	o AG, May 2000				
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SHEET <u>6</u> OF <u>12</u> KORM PTO-1449 DOCKET NUMBER APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. FILING DATE **GROUP ART UNIT** 1743 February 5, 2001 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT DATE NAME CLASS FIL. DATE SUB INITIAL CLASS 5,273,718 12/28/93 Sköld et al. 5,275,951 01/04/94 Chow et al. 5,307,144 04/26/94 Hiroshi et al. 5,319,436 06/07/94 Manns et al. 5,340,747 08/23/94 Eden 5,341,215 08/23/94 Seher 5,349,436 09/20/94 Fisch 5,355,215 10/11/94 Schroeder et al. 5,384,093 01/24/95 Ootani et al. 5,401,465 03/28/95 Smethers et al. 5,436,718 07/25/95 Fernandes et al. FOREIGN PATENT DOCUMENTS DOCUMENT CLASS DATE COUNTRY TRANSLATION SUB NUMBER CLASS YES NO WO00/05336 02/03/00 **WIPO** XX 02/10/00 WO00/06989 **WIPO** XX**OTHER DOCUMENTS** CyBiTM-Well 2000 brochure, CyBio AG, May 2000 Packard BioScience Company Introduces the FusionTM Universal Microplate Analyzer Press Release, Packard BioScience Company, June 29, 2000. FusionTM, Universal Microplate Analyzer, Packard BioScience Company, August 2000. ProxiPlate internet description page, Packard BioScience Company, printed September 17, 2000. Approaching the 2 µL to 10 µL Range: 384 Well Small Volume vs. 1536 Well Plates poster, Greiner Labortechnik, September 2000. SPECTRAmax® GEMINI XS brochure, Molecular Devices Corp., June 2000 **EXAMINER** DATE COSSIDER 7-12-04

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	W	5,443,791	08/22/95	Cathcart et al.			
	1	5,449,921	09/12/95	Baba			
		5,457,527	10/10/95	Manns et al.			
		5,459,300	10/17/95	Kasman			
		5,487,872	01/30/96	Hafeman et al.		/	
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		5,516,490	05/14/96	Sanadi			
		5,527,684	06/18/96	Mabile et al.			
	W	5,531,697	07/02/96	Olsen et al.			
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		SPECTRAmax®	PLUS ³⁸⁴ brocht	ire, Molecular Devices	s Corp., Jun	e 2000.	
		Labcyte: Researc LLC, August 1, 2	h and Clinical In 2000.	struments for Life Sci	ences broch	ure, Arlena F	Research
		CyBi™-Screen-N	Machine: One Sy	stem-Many Solutions I	brochure, C	yBio AG, 20	00.
	1/	Reacti-Bind™ Me	tal Chelate High I	Binding CapacityPlates (flyer, Pierce	Chemical Con	npany, 2000.
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W	5,531,698	07/02/96	Olsen				
1	5,540,889	07/30/96	Gordon et al.			71	
	5,542,012	07/30/96	Fernandes et al.			/	
	5,560,811	10/01/96	Briggs et al.		1/		
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	5,599,500	02/04/97	Jones				
	5,604,130	02/18/97	Warner et al.				
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Pa	Reacti-Bind TM N Company, 2000.	cutrAvidin™ H	igh Binding Capacity (HBC	C) Coated Pl	ates Flye	r, Pierce	Chemical
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SHEET <u>10</u> OF <u>12</u> FORM PTO-1449 DOCKET NUMBER APPLICATION NUMBER FSII 304 09/777,364 INFORMATION DISCLOSURE CITATION IN AN APPLICATION APPLICANT Andy C. Neilson et al. FILING DATE **GROUP ART UNIT** 1743 February 5, 2001 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT DATE NAME CLASS SUB FIL. DATE INITIAL NUMBER CLASS IF APPROP. 5,756,304 05/26/98 Jovanovich 5,759,494 06/02/98 Szlosek 5,766,875 06/16/98 Hafeman et al. 5,770,151 06/23/98 Roach et al. 5,772,966 06/30/98 Maracas et al. 5,772,967 06/30/98 Wannlund et al. 07/14/98 5,780,857 Harju et al. 5,798,035 08/25/98 Kirk et al. 5,798,085 08/25/98 Seaton et al. 5,800,778 09/01/98 Chen et al. 5,801,055 09/01/98 Henderson FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS TRANSLATION NUMBER CLAS NO YES WO01/04608 01/18/01 WIPO XX0 204 109 A2 12/10/86 **EPO** XXOTHER DOCUMENTS REMP 384 Tube Technology flyer, REMP (USA) Inc., undated. REMP 96-Technology flyer, REMP (USA) Inc., undated. Complete Sealing Solutions for Sample Integrity flyer, REMP (USA) Inc., undated. High Throughput Screening brochure, Greiner America, Inc., undated. PW 384 brochure, PanVera Corporation, undated. Protein Kinase C (PKC) tech spees, PanVera Corporation, undated.

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m	5,910,287	06/08/99	Cassin et al.			7	
	5,933,232	08/03/99	Atzler et al.				
	5,958,694	09/28/99	Nikiforov				-
	5,961,926	10/05/99	Kolb et al.				
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	6,018,388	01/25/00	Nawracala et al.		\ /		
	6,025,985	02/15/00	Leytes et al.				
	6,027,695	02/22/00	Oldenburg et al.		$ $ \wedge		
	6,033,605	03/07/00	Szlosek		_/\	\	
	6,045,755	04/04/00	Lebl et al.				
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	6,097,025	08/01/00	Modlin et al.				
1	6,159,425	12/12/00	Edwards et al.				
'M	6,187,267	02/13/01	Taylor et al.				
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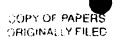


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	6,299,347	10/09/01	Pompei						
	6,292,685	09/18/01	Pompei					.=	7
	6,272,375	08/07/01	Katzir et al.						D T
	6,248,066	06/19/01	Barnett et al.			7		0 (
	6,242,262 B1	06/05/01	Morken et al.				7	6	
	6,212,824	04/10/01	Orr et al.		1		8	2002	ME
	6,208,749	03/27/01	Gutkowicz-Krusin et a	al.	_/				U
	6,207,369 B1	3/27/01	Wohlstadter et al.		-/-				
	6,203,193	03/20/01	Egawa		\prod				
	6,195,445	02/27/01	Dubuisson-Jolly et al.		T			1	
	6,190,329	02/20/01	Cheng						
Cyr	6,172,367 B1	1/9/00	Fritz et al.						
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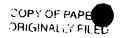




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FORM PTO-14	A MAU		DOCKET NUMBER FSII 304		LICATION /777,364	NUMBER	
[[ORMATION DISCL TION IN AN APPL		APPLICANTS Andy C. Neilson	et al.			
			FILING DATE February 5, 2001		UP ART U		
		U.S. PA	TENT DOCUMENTS	S			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DA	
S	6,157,854	12/05/00	Haber et al.			1	
	6,129,673	10/10/00	Fraden		/		
	6,114,178	9/5/00	Dezael et al.				
	6,090,050	07/18/00	Constantinides				
	6,083,761	7/4/00	Kedar et al.		1/-	- 0	끘
	6,063,633	05/16/00	Willson, III		/ (SEP	Ö
	6,056,435	05/02/00	Pompei		1 -	, 0	田
	6,054,325	4/25/00	Kedar et al.		/	2002	X
	6,047,205	4/4/00	Pompei			φ ×	Ü
	6,045,257	04/04/00	Pompei et al.				
	6,030,342	02/29/00	Amano et al.				
	6,023,637	02/08/00	Liu et al.	1			
	6,022,141	02/08/00	Bass				
B	6,021,253	2/1/00	Bell				
		FOREIGN	PATENT DOCUMEN	NTS			
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1	ORMATION DISCI TION IN AN APPL		APPLICANTS Andy C. Neilson	ı et al.				
			FILING DATE February 5, 2001		OUP AF	T UNI	T 43	
		U.S. PA	TENT DOCUMENT	S				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CL/		SUB LASS	FIL. DATE IF APPROP.	
Or	5,999,843	12/07/99	Anbar					
	5,999,842	12/07/99	Harrison et al.			71		
	5,995,865	11/30/99	Carioni			/		
	5,961,466	10/05/99	Anbar					
	5,941,833	08/24/99	Lipman				$\boldsymbol{\varpi}$:
	5,924,996	07/20/99	Cho et al.		/6	5	E I	1
	5,893,833	04/13/99	Pompei et al.				. M	
	5,891,619	04/06/99	Zakim et al.		/ ?	7	2002	
	5,874,048	2/23/99	Seto et al.		1 6	3	≈ <u>m</u>	
	5,873,833	2/23/99	Pompei				U	
	5,820,264	10/13/98	Tsao et al.					\dashv
	5,820,263	10/13/98	Ciobanu					\dashv
	5,813,982	09/29/98	Baratta					\neg
	5,810,010	09/22/98	Anbar					
n	5,795,305	08/18/98	Cho et al.					\dashv
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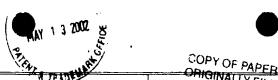
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	ORMATION DISCL TION IN AN APPL		APPLICANTS Andy C. Neilson et	al.			
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		U.S. PA	TENT DOCUMENTS				
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(W	5,790,586	08/04/98	Hilton, Jr. et al.				
1	5,771,261	06/23/98	Anbar			71	· •••
	5,740,809	04/21/98	Baratta			7	
	5,693,942	12/2/97	Endo et al.				
	5,678,566	10/21/97	Dribbon		-1/		
	5,666,962	09/16/97	Lamey				די
	5,664,578	09/09/97	Boczan			7	SE III
	5,655,839	8/12/97	Schmidt et al.				ω (C)
	5,653,239	8/5/97	Pompei et al.		11	Z	2 7
	5,628,332	5/13/97	Pompei		11	8	20g K
	5,615,672	04/01/97	Braig et al.				0
	5,469,855	11/28/95	Pompei et al.				
	5,445,158	8/29/95	Pompei	17			
	5,445,157	08/29/95	Adachi et al.	11			
1/2	5,386,831	02/07/95	Gluck				
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FORM PTO-1	ORMATION DISCL	AE s s . A	DOCKET NUMBER FSII 304		CATION N 77,364	UMBER
	ORMATION DISCL TION IN AN APPLI	(),)(.K),	APPLICANTS Andy C. Neilson et	al.		
			FILING DATE February 5, 2001		PARTUN	
		U.S. PA	TENT DOCUMENTS			
ENAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
90	5,381,796	1/17/95	Pompei			
	5,376,335	12/27/94	Gleaves			
	5,296,195	03/22/94	Pang et al.			,
	5,287,758	2/22/94	Geiss et al.		/	
	5,207,987	5/4/93	Kureshy et al.			
	5,204,268	4/20/93	Matsumoto			S
	5,199,436	4/6/93	Pompei et al.		C	PC
	5,178,019	1/12/93	Keiter		7 1	о <u>П</u>
	5,056,525	10/15/91	Hafezi		g	1002 F
	5,017,019	05/21/91	Pompei			Ö
	4,874,948	10/17/89	Cielo et al.			
	4,788,150	11/29/88	Nelson et al.			
	4,670,219	6/2/87	Nelson et al.			
n	4,498,510	2/12/85	Minshew, Jr. et al.			
•		FOREIGN	PATENT DOCUMENT	S		
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II.	ORMATION DISCL TION IN AN APPL			PLICANTS Andy C. Neilson	et al.				
				LING DATE ebruary 5, 2001		GROUF		TUNIT 743	
		U.S. PA	ATEN	Γ DOCUMENTS	5				
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Do	4,461,328	7/24/84	Ke	enney				/	
	4,332,768	6/1/82	Ве	erglund					
	4,231,989	11/4/80	Th	oma					
	4,221,966	9/9/80	Κe	err et al.					
	4,162,896	7/31/79	Но	osli					
1/6	4,024,560	5/17/77	Mi	ller et al.	7		_		JU
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li .	FORMATION DISCI ÁTION IN AN APPL		APPLICANTS Andy C. Neilson		1>00
			FILING DATE February 5, 2001	GROUP ART	UNIT 1743
		U.S. P.	ATENT DOCUMENT	S	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS SU	
M	5,002,889	3/26/91	Klein		/
	5,846,708	12/8/98	Hollis et al.		/
	5,911,953	6/15/99	Ogata et al.		
	6,373,570	4/16/02	McFarland et al.		·
	6,380,605	4/30/02	Verhaegen		
p	2001/0046471	11/29/01	Marek et al.		
	******	FOREIGN	PATENT DOCUME	NTS	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUB CLASS	TRANSLATION YES NO
7	WO01/85901	11/15/01	WIPO		XX
Or	WO02/11881	2/14/02	WIPO		XX
		OTH	IER DOCUMENTS	<u> </u>	
20	Infrared Thermo	genic Screening m. Res., Vol. 35	of Combinatorial Librarie , No. 12, pp. 4801-4803, 1	s of Heterogeneous Ca 996.	talysts, Moates et
EXAMINER	98	>	DATE CONSIDERED	1-04	

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(c)		FORMATION DISC FATION IN AN APPI \		APPLICANTS Andy C. Neilson et al.					
I A	AY 1 3 2002 (2)			FILING DATE February 5, 200	GI	GROUP ART UNIT			
	U.S. PATENT DOCUMENTS								
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	70	5,774,214	6/30/98	Prettyjohns					
	0	5,959,297	9/28/99	Weinberg et al.		X			
L	Do	6,030,917	2/29/00	Weinberg et al.					
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	h	WO98/15813	4/16/98	WIPO			XX		
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